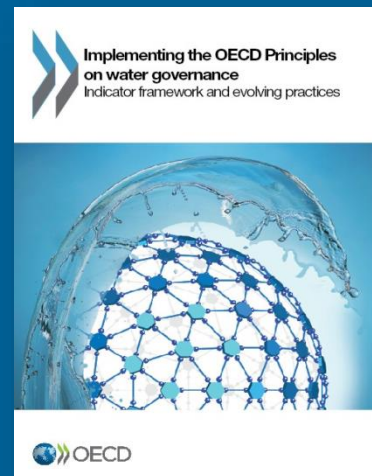




# OECD WATER GOVERNANCE PRINCIPLES



**Hakan TROPP**

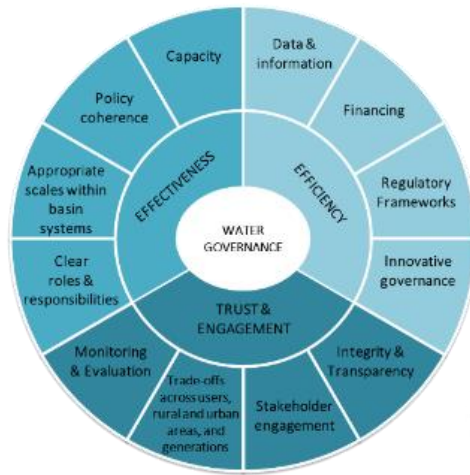
Head, OECD Water Governance Programme  
Centre for Entrepreneurship, SMEs, Regions and Cities

[Hakan.tropp@oecd.org](mailto:Hakan.tropp@oecd.org)

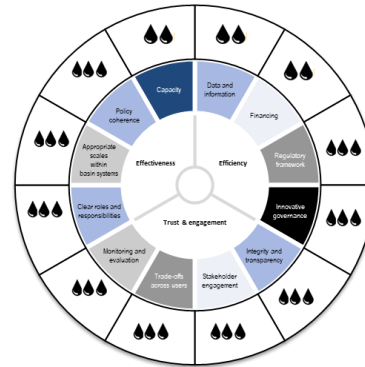


# OECD WGI Achievements and Next Steps

## OECD Principles on Water Governance



## Indicator Framework



## 50+ Water Stories




---

Promoting the use of existing indicators and developing **impact indicators**

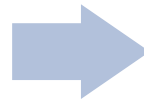
---

Promoting **capacity development** and developing modules and activities

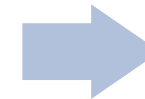
---

Contributing to a new OECD Programme : *Governance and Economics of Water Security for Sustainable Development in Africa*

2013-2015  
Vision



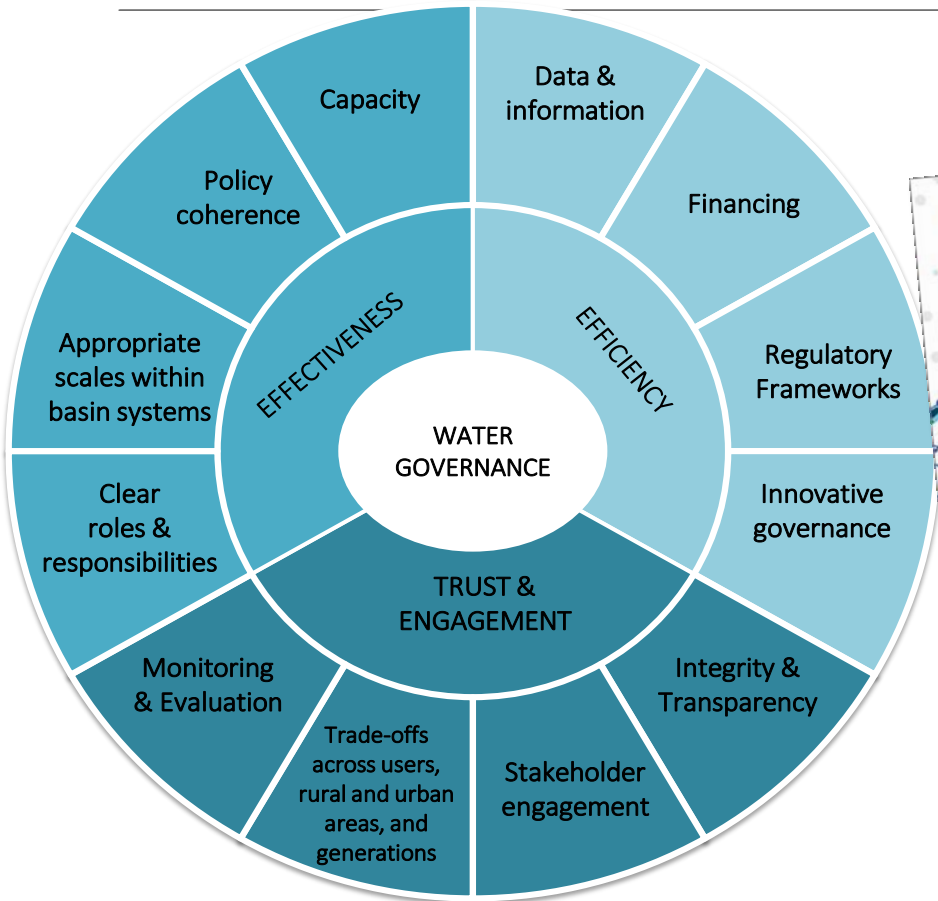
2016-2018  
Action



2019-2021  
Implementation



# OECD Principles on Water Governance



- **Resulting from a multi-stakeholder process**
  - Developed through the OECD Water Governance Initiative (100+ network members)
- **Internationally recognized**
  - Endorsed by OECD member states in 2015
  - Included in the 2016 OECD Council Recommendation on Water (OECD Act)
  - Used by a range of NGOs, academics, governments to assess water governance systems
  - In their “Agenda for Water Action”, March 2018, the High level Panel on Water (HLPW) highlighted the OECD Principles on water governance and the Water Governance Initiative (WGI) as being especially valuable for states and stakeholders for implementing their recommendations



## Principles 1-4: The *effectiveness* of water governance

---

1. Clearly allocate and distinguish *roles and responsibilities* for water policymaking, policy implementation, operational management and regulation, and foster co-ordination across these responsible authorities.
2. Manage water at the *appropriate scale(s)* within integrated basin governance systems to reflect local conditions, and foster co-ordination between the different scales.
3. Encourage policy coherence through effective *cross-sectoral co-ordination*, especially between policies for water and the environment, health, energy, agriculture, industry, spatial planning and land use.
4. Adapt the level of *capacity* of responsible authorities to the complexity of water challenges to be met, and to the set of competencies required to carry out their duties.



## Principles 5-8: The *efficiency* of water governance

---

5. Produce, update, and share timely, consistent, comparable and policy-relevant water and water-related *data and information*, and use it to guide, assess and improve water policy.
6. Ensure that governance arrangements help mobilise water finance and allocate *financial resources* in an efficient, transparent and timely manner.
7. Ensure that sound water management *regulatory frameworks* are effectively implemented and enforced in pursuit of the public interest.
8. Promote the adoption and implementation of *innovative water governance practices* across responsible authorities, levels of government and relevant stakeholders.



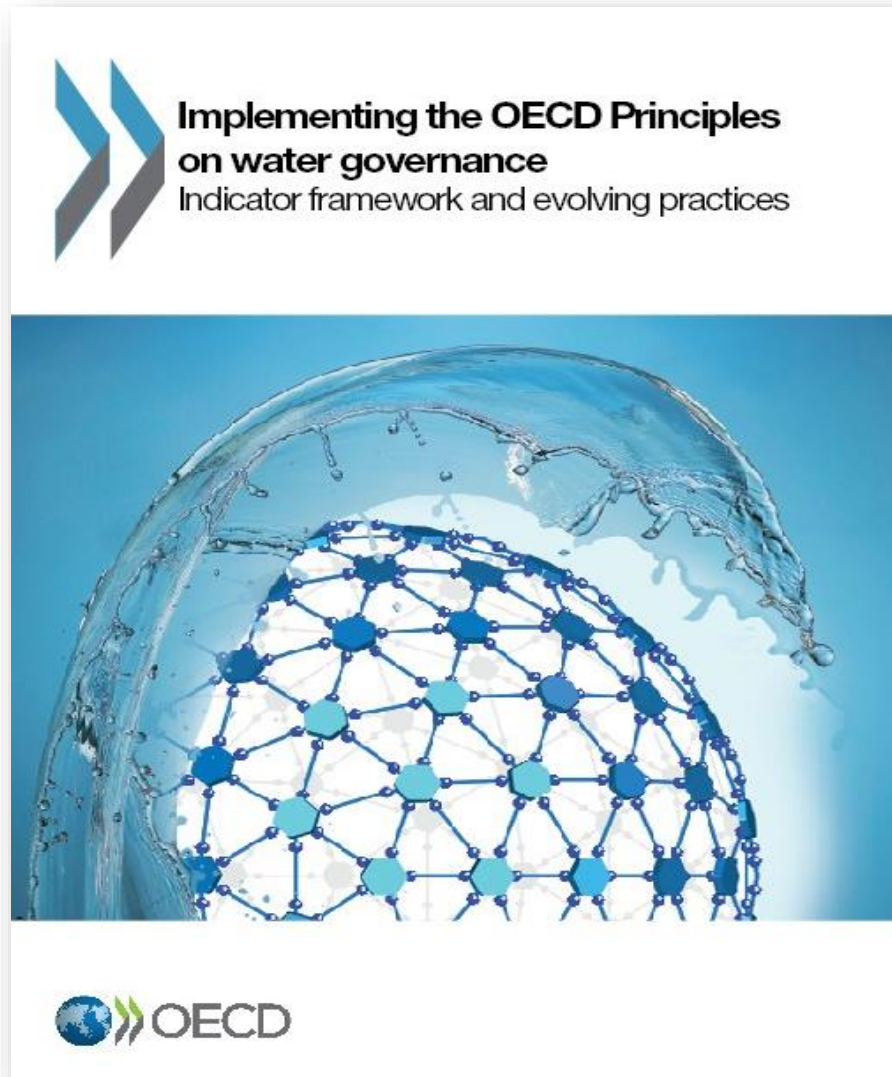
## *Principles 9-12: Trust and engagement in water governance*

---

9. Mainstream *integrity and transparency* practices across water policies, water institutions and water governance frameworks for greater accountability and trust in decision-making.
10. *Promote stakeholder engagement* for informed and outcome-oriented contributions to water policy design and implementation.
11. Encourage water governance frameworks that help manage *trade-offs* across water users, rural and urban areas, and generations.
12. Promote regular *monitoring and evaluation* of water policy and governance where appropriate, share the results with the public and make adjustments when needed.



## Action: Indicator Framework & Evolving Practices



### OECD Water Governance Indicator Framework:

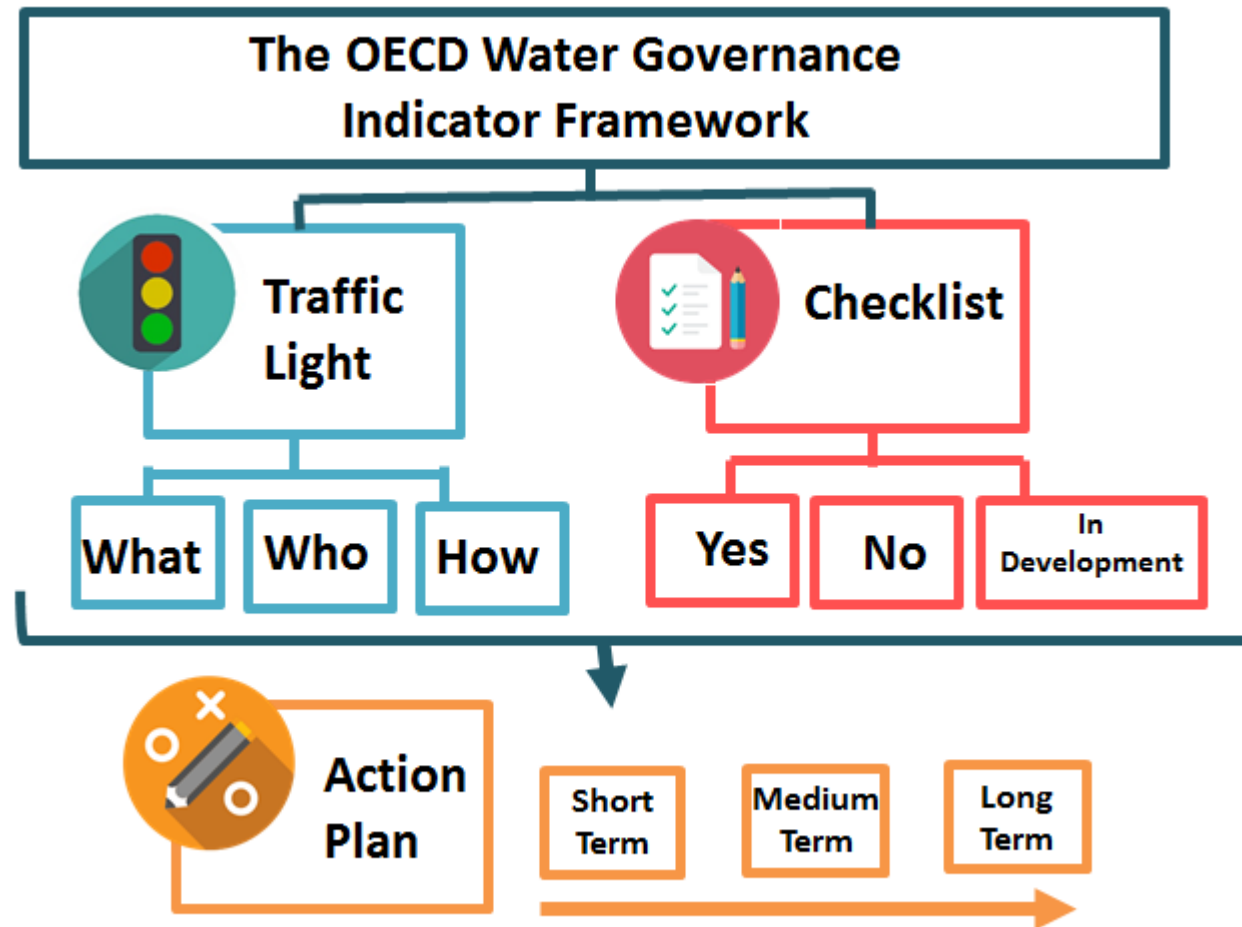
- **36** input and process indicators within a **traffic light system**
- **100+** guiding questions within the **Checklist**
- **Action Plan**

### Evolving practices on water governance:

- Around **5 continents**
- Across **water functions**
- National, regional, basin and local **scale**
- Across all types of **stakeholders** from central governments to indigenous groups



## Action: Water Governance Indicator Framework (I)

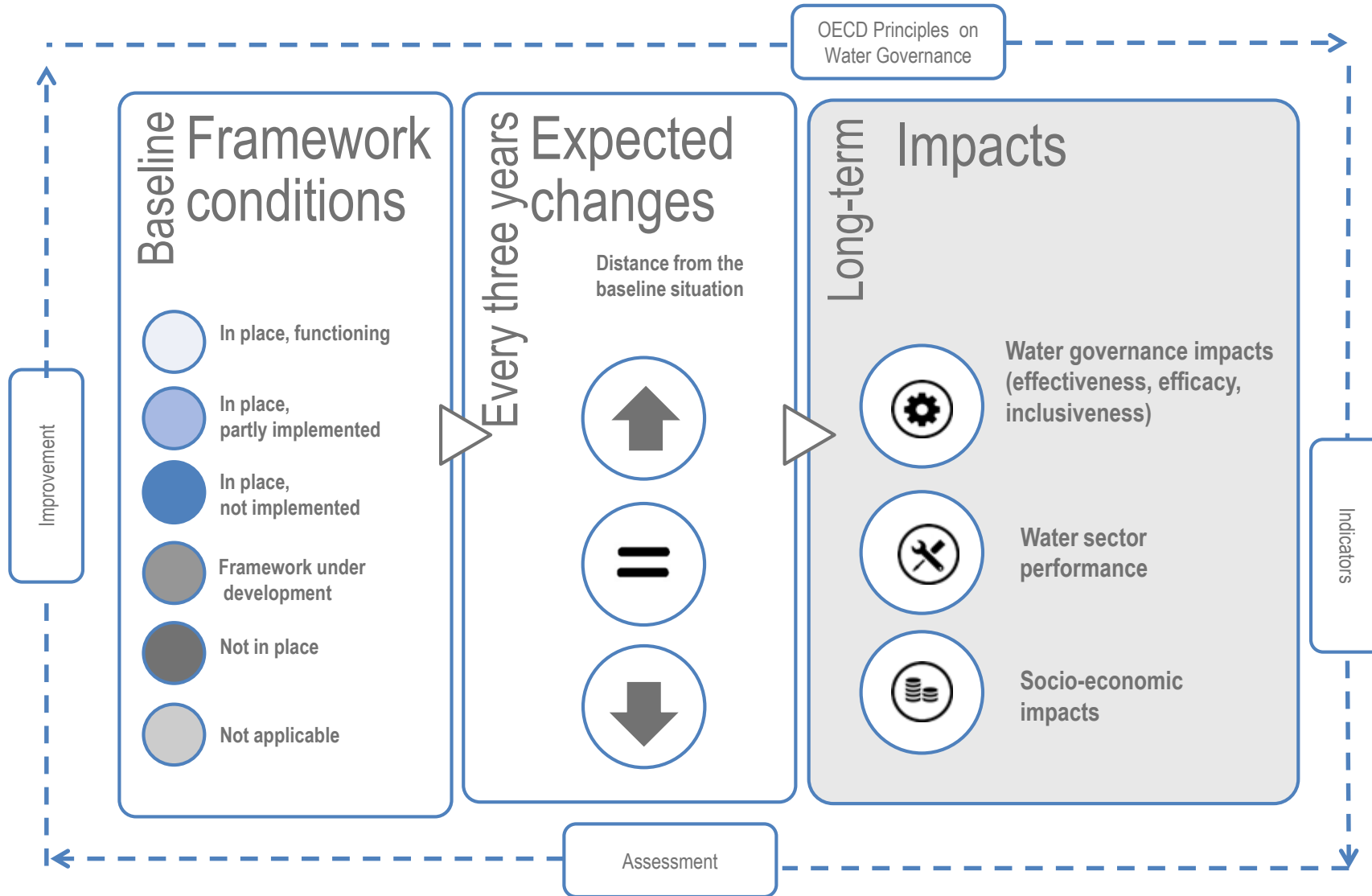


**11 pilot test results of the water governance indicator framework**





# Action: Water Governance Indicator Framework (II)





## Action: Key Indicators for Principle 10

**Principle 10:** *Promote stakeholder engagement* for informed and outcome-oriented contributions to water policy design and implementation

WHAT

- Existence and level of implementation of **legal frameworks to engage stakeholders** in the design and implementation of water-related decisions, policies and projects

WHO

- Existence and functioning of **organisational structures and responsible authorities** to engage stakeholders in water-related policies and decisions

HOW

- Existence and level of implementation of **mechanisms to diagnose and review stakeholder engagement** challenges, processes and outcomes



THANK YOU

[Hakan.tropp@oecd.org](mailto:Hakan.tropp@oecd.org)